

Technology
and Data
Soft
Skills
Banking
Knowledge

Banking Industry – New Insights on Anti-Money Laundering

Programme Code: THRCPO0P26123

3 December 2026 (Thursday)

12:00nn – 2:00pm


HKIB SFC PWMA
CPD CPT OPT

Programme Outline

Session 1:

Extension of Job Scope to Prevention of Financial Crimes

- FATF: History and Background
- Back to Basics: Definition of Money Laundering and Its Processes
- Relevant Laws and Regulations in Hong Kong
- FATF Annual Report, Hong Kong AML Report, Chinese Mainland AML Report

Session 2:

Key Enablers in AML from a Retail Banking Business Model Perspective

- Strategies: Future Directions, Aligning with Local Regulators and International Practices
- Governance Structure and Responsibilities
- Applicable Processes and Controls: From Product End-to-End Perspectives Through Customer Journey
- Lessons Learned from Selected Cases and Areas for Improvement

(The programme features real-life case studies, equipping participants with valuable industry insights.)

Speaker Introduction

The speaker, formerly the Deputy Group Head of Personal and Commercial Banking at a major commercial Hong Kong bank, boasts over 35 years in banking. His expertise spans retail banking, fintech, operational risk management, anti-money laundering, counter-terrorist financing and internal auditing. He was an Executive Director on the Executive Committee for Chinese Mainland Retail Banking, he has overseen internal audits and managed business operations across 11 countries in the Asia-Pacific region.

The speaker has comprehensive experience in sales, operations, and risk management. He holds a Master Degree of Business Administration (MBA) from the University of Leicester and is a Certified Operational Risk Professional (CORP), a Certified Anti-Money Laundering Specialist (CAMS), and Certified Internal Auditor (CIA, 2000-2016).

Target Audience

1. Banking Practitioners
2. Compliance Officers and Relationship Managers
3. HKIB Professional Qualification Holders (eligible for CPD Core Hours):



Programme Delivery

Virtual Classroom (Zoom)
English

Application DEADLINE

26 November 2026 (Thursday)



APPLY NOW!

Enquiry : (852) 2153 7800
cs@hkib.org
<https://hkib.org/page/284>

PRICE
LIST

Price

Individual
Member
HKD

HKD660

Staff of
Corporate
Member
HKD

HKD800

Non-
Member
HKD

HKD880